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CONFIRMATION NO. 4960

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/857,496	06/05/2001	705	3691	053775-5001
RULE				
APPLICANTS Bradley J. McGill, Birmingham, AL; Evan J Winston, New York, NY;				
** CONTINUING DATA ***** This application is a 371 of PCT/US01/11748 05/04/2001				
** FOREIGN APPLICATIONS *****				
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **				
Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance OA	STATE OR COUNTRY	SHEETS DRAWINGS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		AL	11
Verified and	/OLABODE AKINTOLA/			64
Acknowledged	Examiner's Signature	Initials		8
ADDRESS MORGAN, LEWIS & BOCKIUS LLP 1701 MARKET STREET PHILADELPHIA, PA 19103-2921 UNITED STATES				
TITLE Derivative securities and system for trading same				
FILING FEE RECEIVED 951	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees	
			<input type="checkbox"/> 1.16 Fees (Filing)	
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